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Docket No.: F0467.70006US00  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. Havekost et al.  
Serial No.: 09/378969  
Confirmation No.: 9703  
Filed: August 23, 1999  
Patent No.: 7023440  
For: METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF  
PROCESS EVENTS AND TREND DATA

Examiner: C. E. Harrison  
Art Unit: 2677

Certificate of Mailing Under 37 CFR 1.8(a)	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: <u>May 10, 2006</u>	<u>Doris A. Champagne</u> Doris A. Champagne

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.322**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Certificate  
MAY 17 2006  
of Correction

Upon reviewing the above-identified patent, Patentee noted an error of omission which should be corrected.

On the first page, Cindy A. Scott should be added as inventor.

On June 27, 2005 a Request for Correction of Inventorship and related documents were filed to add Cindy A. Scott as inventor. Copies of the documents filed on June 27, 2005 are enclosed. To date, no response has been received to this request. Pursuant to the Request, Cindy A.

MAY 18 2006

Scott should be listed as an inventor on the first page of the issued patent. The error occurred through no fault of the Applicant.

It is respectfully requested that a Certificate of Correction be issued adding Cindy A. Scott as inventor. Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

Dated: May 10, 2006

Respectfully submitted,

By William R. McClellan

William R. McClellan

Registration No.: 29,409

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000

MAY 18 2006



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	Patent#: 7023440	
	Filing Date	Issued: April 4, 2006	
	First Named Inventor	Robert B. Havekost	
	Art Unit	2677	
	Examiner Name	C. E. Harrison	
Total Number of Pages in This Submission	21	Attorney Docket Number	F0467.70006US00

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Request for Certificate of Correction Certificate of Correction Copy of Request for Correction of Inventorship filed June 27, 2005
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	WOLF, GREENFIELD & SACKS, P.C.		
Signature	<i>William R. McClellan</i>		
Printed name	William R. McClellan		
Date	May 10, 2006	Reg. No.	29,409

Certificate of Mailing Under 37 CFR 1.8(a)	
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Dated: <u>May 10, 2006</u>	Signature: <u>Doris A. Champagne</u> (Doris A. Champagne)

MAY 18 2006 1025721.1

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 7023440  
APPLICATION NO. : 09/378969  
ISSUE DATE : April 4, 2006  
INVENTOR(S) : Robert B. Havekost et al.

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**On the first page, Cindy A. Scott should be added as inventor.**

**Certificate of Mailing Under 37 CFR 1.8(a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 10, 2006Signature: Doris A. Champagne (Doris A. Champagne)

MAILING ADDRESS OF SENDER (Please do not use customer number below):

William R. McClellan  
WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206

1

MAY 18 2006



Serial No. 09/378,969 File No. 0467.7006US00 By: WRM/pkf

Title: Methods and Apparatus for ...

Application of Robert B. Haudkost et al. WGS Date: 10DD

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.40)	<input type="checkbox"/> Provisional Application Cover Sheet
<input type="checkbox"/> Express Mail Label No. _____	<input type="checkbox"/> Multiple Dependent Claim Fee Sheet
<input type="checkbox"/> Patent Application	<input type="checkbox"/> Inf. Discl. Statement, PTO Form 1449
<input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional	<input type="checkbox"/> References Cited
Incl. _____ pages, ( _____ pgs) Specification,	<input type="checkbox"/> Priority Document(s) # _____
( _____ pgs) Abstract, ( _____ pgs) Claims _____ # claims	<input type="checkbox"/> Copy of Notice to File Missing Parts
<input checked="" type="checkbox"/> Design Patent Application	<input type="checkbox"/> Amendment/Response
<input checked="" type="checkbox"/> Declaration(s) <u>Executed</u>	<input type="checkbox"/> Petition for Ext. of Time (x2)
<input type="checkbox"/> Drawings _____ Sheet(s) (FIGS. _____)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Assignment and Coversheet
<input type="checkbox"/> Utility Patent Application Transmittal	<input type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Fee calculation sheet (x2)	<input type="checkbox"/> Brief (x3)
<input type="checkbox"/> CPA Transmittal	<input checked="" type="checkbox"/> Check for \$ <u>130.00</u> Check # <u>24088</u>
<input type="checkbox"/> Verified Statement claiming small entity status	<input checked="" type="checkbox"/> Transmittal Letter (x2)
<input type="checkbox"/> Request for Approval and Entry of Formal Drawings	<input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)

☒ Other Request for Correction of Inventorship 1.48(c)

☒ Statement by Cindy A. Scott ☒ Consent of Assignee ☒ Statement under 37 CFR 3.73(b)

DATE MAILED

June 27, 2005

☒ Copy of Recorded Assignment

MAY 18 2006



DOCKET NO.: F0467.70006US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. Havekost et al.  
Serial No.: 09/378,969  
Confirmation No.: 9703  
Filed: August 23, 1999  
For: METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF  
PROCESS EVENTS AND TREND DATA  
Examiner: Chante E. Harrison  
Art Unit: 2672

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 27<sup>th</sup> day of June, 2005.

*Paula K. Fairweather*  
Paula K. Fairweather

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Request for Correction of Inventorship under 37 CFR § 1.48(a)
- Statement by Cindy A. Scott
- Declaration (executed)
- Consent of Assignee
- Statement under 37 CFR § 3.73(b)
- Copy of Recorded Assignment
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check in the amount of \$130.00 is enclosed to cover the Request for Correction of Inventorship filing fee. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
Robert B. Havekost et al., Applicant

By: *William R. McClellan*  
William R. McClellan, Reg. No.: 29,409  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: F0467.70006US00  
Date: June 27, 2005  
xNDDx

MAY 18 2005



DOCKET NO.: F0467.70006US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert B. Havekost et al.  
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*Paula K. Fairweather*  
Paula K. Fairweather

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF INVENTORSHIP**  
**UNDER 37 CFR §1.48(a)**

1. This is a Request for Correction of Inventorship Under 37 CFR §1.48(a).
2. The above-identified application currently names Robert B. Havekost, Larry Oscar Jundt, Roy Faltesek and Ian James Nadas as inventors.
3. Applicant hereby requests that Cindy A. Scott be added as an inventor on the above-identified application. Accordingly, the application will name Robert B. Havekost, Larry Oscar Jundt, Roy Faltesek, Ian James Nadas and Cindy A. Scott as inventors.

MAY 18 2006

Serial No.: 09/378,969  
Conf. No.: 9703

- 2 -

Art Unit: 2672

4. Correction of inventorship is hereby requested. The processing fee of \$130.00 set forth in 37 CFR §1.17(i) is enclosed.

Respectfully submitted,  
*Robert B. Havekost et al., Applicant(s)*

By: William R. McClellan  
William R. McClellan, Reg. No. 29,409  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: F0467.70006US00  
Date: June 27, 2005  
**xNDDx**

MAY 18 2006





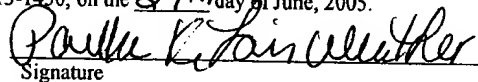
DOCKET NO.: F0467.70006US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert B. Havekost et al.  
Serial No.: 09/378,969  
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For: METHODS AND APPARATUS FOR INTEGRATED  
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Examiner: Chante E. Harrison  
Art Unit: 2672

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 27<sup>th</sup> day of June, 2005.

  
Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT BY CINDY A. SCOTT**

1. The above-identified U.S. Patent Application currently names Robert B. Havekost, Larry Oscar Jundt, Roy Faltesek and Ian James Nadas as inventors.
2. I was erroneously omitted as an inventor of the subject matter claimed in the above-identified application.
3. The error in inventorship occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

6.14.05  
Date

  
Cindy A. Scott

MAY 18 2006



DOCKET NO.: F0467.70006US00

### DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe the named inventor(s) to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF PROCESS EVENTS AND TREND DATA

the specification of which:

☐ is attached hereto;

☒ was filed on August 23, 1999, as United States Application No. 09/378,969, Confirmation No. 9703, bearing Docket No. F0467.70006US00.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/100,286  
(Application Number)

September 14, 1998  
(filing date)

The undersigned hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and all related divisional, continuing, substitute, renewal, reissue, re-exam, and to conduct all business in the Patent and Trademark Office connected therewith:

☒ Practitioners associated with Wolf, Greenfield & Sacks, P.C.'s Customer Number: **23628**

AND

☐ Practitioner(s) named below:

Name	Registration Number

☒ Direct all correspondence to the above-mentioned customer number

Address all telephone calls to William R. McClellan at telephone no. (617) 646-8000.

904236

MAY 18 2006

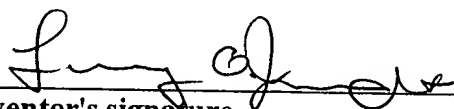
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



6/15/2005  
Date

**Inventor's signature**

Full name of first or joint inventor: Robert B. Havekost  
Citizenship: USA  
Residence: 146 Southwest Brook Lane  
Elgin, TX 78621  
Post Office Address: 146 Southwest Brook Lane  
Elgin, TX 78621



6/15/05  
Date

**Inventor's signature**

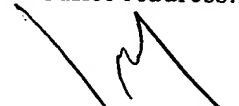
Full name of second joint inventor: Larry Oscar Jundt  
Citizenship: USA  
Residence: 305 Northfield Street  
Round Rock, TX 78681  
Post Office Address: 305 Northfield Street  
Round Rock, TX 78681



16 June 2005  
Date

**Inventor's signature**

Full name of third joint inventor: Roy Faltesek  
Citizenship: USA  
Residence: 2202 Falcone Drive  
Round Rock, TX 78681  
Post Office Address: 2202 Falcone Drive  
Round Rock, TX 78681



6/15/2005  
Date

**Inventor's signature**

Full name of fourth joint inventor: Ian James Nadas  
Citizenship: Canada  
Residence: 4718 Palisade Drive  
Austin, TX 78731  
Post Office Address: 4718 Palisade Drive  
Austin, TX 78731

MAY 18 2006

Serial No.: 09/378,969

Declaration for Patent Application

Page 3

  
Inventor's signature

6-14-05  
Date

Full name of fifth joint inventor:

Cindy A. Scott

Citizenship:

USA

Residence:

30105 Oakmont Drive  
Georgetown, TX 78628

Post Office Address:

30105 Oakmont Drive  
Georgetown, TX 78628

MAY 18 2006



DOCKET NO.: F0467.70006US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert B. Havekost et al.  
Serial No.: 09/378,969  
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Examiner: Chante E. Harrison  
Art Unit: 2672

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*Paula K. Linwaller*  
Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

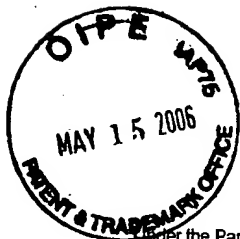
**CONSENT OF ASSIGNEE**

Fisher Rosemount Systems, Inc., Assignee of U.S. Application Serial No. 09/378,969, hereby consents to the correction of inventorship in the above-identified application by the addition of Cindy A. Scott as an inventor.

Date

6/16/05

*Michael L. Sheldon*  
Michael L. Sheldon  
Assistant Secretary



PTO/SB/96 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Robert B. Havekost et al.

Application No./Patent No.: 09/378,969

Filed/Issue Date: August 23, 1999

Entitled: METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF PROCESS EVENTS AND TREND DATA

Fisher Rosemount Systems, Inc.  
(Name of Assignee)

, a Corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010195, Frame 0516, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature  
Michael L. Sheldon  
Printed or Typed Name  
Assistant Secretary  
Title

6/16/05  
Date  
512-834-7346  
Telephone Number

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 6/27/05

Signature:

MAY 18 2006

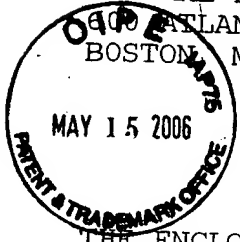


*wrm*  
**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 29, 1999

PTAS

WOLF, GREENFIELD & SACKS, P.C.  
WILLIAM R. MCCLELLAN  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210



**DOCKETED**  
**NOV 24 1999**



\*101130826A\*

File Folder	<input type="checkbox"/>
ECB	<input type="checkbox"/>
Docket Entry	<input type="checkbox"/>
Docket Cross Off	<input type="checkbox"/>
Order Copies	<input type="checkbox"/>
Annuities	<input type="checkbox"/>
Confirmation	<input type="checkbox"/>

*EO 462/7006*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/23/1999

REEL/FRAME: 010195/0516  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
HAVEKOST, ROBERT B.

DOC DATE: 08/17/1999

ASSIGNOR:  
JUNDT, LAWRENCE OSCAR

DOC DATE: 08/17/1999

ASSIGNOR:  
FALTESEK, ROY

DOC DATE: 08/17/1999

ASSIGNOR:  
NADAS, JAMES

DOC DATE: 08/17/1999

ASSIGNEE:  
FISHER ROSEMOUNT SYSTEMS, INC.  
8301 CAMERON ROAD  
AUSTIN, TEXAS 78754

SERIAL NUMBER: 09378969  
PATENT NUMBER:

FILING DATE: 08/23/1999  
ISSUE DATE:

*MAY 18 2005*

010195/0516 PAGE 2

SHARON LATIMER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

2006 12 18



8.23.99

R

08-31-1999

3 SHEET

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101130826

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert B. Havekost, Lawrence Oscar Jundt, Roy  
Faltesek and Ian James Nadas

2. Name and address of receiving party(ies)

Name: Fisher Rosemount Systems, Inc.

Street Address: 8301 Cameron Road  
Austin, Texas, 78754

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

Execution Date: August 17, 1999



4. Application number(s) or patent number(s):

09,378969

If this document is being filed together with a new application, the execution date of the application is August 23, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: William R. McClellan  
Address: WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William R. McClellan

William R. McClellan

Name of Person Signing

Signature

Date

AUG. 23, 1999

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Box Assignment, Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

F0467/7006 WRM/BL

**ASSIGNMENT**

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned Robert B. Havekost, 14507 Crystal Court, Austin, Texas 78728; Lawrence Oscar Jundt, 305 Northfield, Round Rock, Texas 78681; Roy Faltesek, 2202 Falcon Drive, Round Rock, Texas 78681; and Ian James Nadas, 4718 Palisade Drive, Austin, Texas 78731 hereby

Sell, assign and transfer to Fisher Rosemount Systems, Inc., a Texas corporation having a place of business at 8301 Cameron Road, Austin, Texas 78754, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent executed of even date herewith and entitled METHODS AND APPARATUS FOR INTEGRATED DISPLAY OF PROCESS EVENTS AND TREND DATA, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to

MAY 18 2006

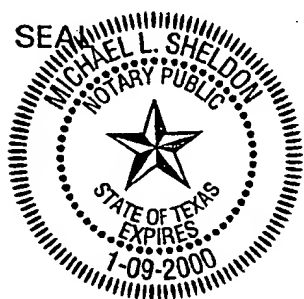
others by us, and that full right to convey the same as herein expressed is possessed by us.

Dated: 8/17/99

Robert B. Havekost  
ROBERT B. HAVEKOST

STATE OF TEXAS :  
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August 1999.



[Signature]  
Notary Public

My Commission Expires 9 Jan 00

Dated: 8/17/99

Lawrence Oscar Jundt  
LARRY

STATE OF TEXAS :  
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August 1999.



[Signature]  
Notary Public

My Commission Expires 9 Jan 00

MAY 18 2006

Dated: 17 Aug 99

Roy Faltesek  
ROY FALTESEK

STATE OF Texas :  
COUNTY OF TRAVIS :

Subscribed and sworn to before me this 17 day of August



[Signature]  
Notary Public

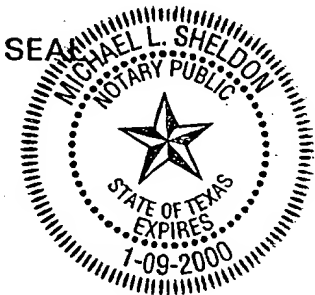
My Commission Expires 9 Jan 00

Dated: 17 Aug 99

[Signature]  
IAN JAMES NADAS

STATE OF TEXAS :  
COUNTY OF Travis :

Subscribed and sworn to before me this 17 day of August



[Signature]  
Notary Public

My Commission Expires 9 Jan 00

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FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert B. Havekost, Lawrence Oscar Jundt, Roy  
Faltsek and Ian James Nadas

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: August 17, 1999

2. Name and address of receiving party(ies)

Name: Fisher Rosemount Systems, Inc.

Street Address: 8301 Cameron Road  
Austin, Texas, 78754

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

10549 U.S. PTO  
09/378969  
08/23/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is August 23, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: William R. McClellan

Address: WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210

6. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

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*William R. McClellan*

*AUG. 23, 1999*

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